



Animal Welfare Institute

900 Pennsylvania Avenue, SE, Washington, DC 20003

June 6, 2016

VIA REGULATIONS.GOV AND U.S. MAIL

Ms. Nicole LeBoeuf, Chief
Marine Mammal and Sea Turtle Conservation Division
Office of Protected Resources
U.S National Marine Fisheries Service
1315 East-West Highway
Silver Spring, MD 20910-3226

**Re: Proposed Rule Designating the Sakhalin Bay-Nikolaya Bay-Amur River
Stock of Beluga Whales as a Depleted Stock under the MMPA
NMFS-2015-0154**

Dear Ms. LeBoeuf:

On behalf of the Animal Welfare Institute, Whale and Dolphin Conservation, International Marine Mammal Project of the Earth Island Institute, and Cetacean Society International (“Petitioners”), I submit this brief comment on the Proposed Rule Designating the Sakhalin Bay-Nikolaya Bay-Amur River Stock of Beluga Whales as a Depleted Stock under the Marine Mammal Protection Act (“MMPA”) (81 Fed. Reg. 19542). As discussed herein, Petitioners provide additional data supporting the Proposed Rule and explain why the MMPA requires NMFS to expeditiously issue a Final Rule.

First, the Petitioners applaud NMFS for releasing the Proposed Rule and agree with the comments of the Marine Mammal Commission (Commission) that NMFS’s Status Review of the Sakhalin Bay-Nikolaya Bay-Amur River stock of beluga whales is “a well-written document that thoroughly analyzes the available information.” Petitioners further agree with the Commission that the Status Review makes a “compelling case” that: (1) the Sakhalin Bay-Nikolaya Bay-Amur River beluga whales are their own stock, and (2) even under a low take scenario the stock is well below its optimum sustainable population.

It is clear from the Petition, the Status Review, the comments of the Commission, and the Proposed Rule that there is no “substantial disagreement regarding the sufficiency or accuracy of the available information relevant to [this] status determination.” 16 U.S.C. § 1383b(a)(3)(E). As such, NMFS must expeditiously issue a Final Rule “not later than ninety days after the close of the comment period,” which is September 5, 2016.

As additional impetus for issuance of a Final Rule before September 5, 2016, Petitioners highlight the following additional data. First, new data from the United Nations Environment Programme’s World Conservation Monitoring Center indicate that in 2014 at least 37 live beluga whales were exported from Russia. As discussed in the Petition, it is very likely that all of these

beluga whales were captured from the Sakhalin Bay-Nikolaya Bay-Amur River stock. Thus, the level of live exports alone continues to exceed the Potential Biological Removal level of 29 or 30 calculated by the IUCN in 2011 and is unsustainable and will likely lead to further depletion of the stock. A copy of this data is attached to this comment letter.

In addition, Petitioners note that a new study of the stock recently published in 2015 in the *Russian Journal of Theriology* supports the conclusions of the Status Review Team that: (1) there is only “limited movement” of beluga whales from the Sakhalin Bay-Amur River aggregation into Nikolaya Bay and that “since these movements took place in the fall and breeding occurs in the spring, these movements likely represent seasonal migratory pathways rather than mixing of breeding stocks,” and (2) there are “few animals” in Nikolaya Bay compared to the Sakhalin Bay-Amur River area. See Solovyev, Shpak, Glazov, et al., Summer distribution of beluga whales in the Sea of Okhotsk, 14 *Russian J. Theriology* 208 (2015) (“Some individual whales traveled from Sakhalinsky Bay west to the adjacent bays in the Shantar region . . . and spent autumn there before joining others, which moved directly northeast to offshore wintering areas. . . . [O]nly 6 belugas were spotted [in Nikolaya Bay] in the beginning of September 2009. In the second half of August 2012, only individual whales were spotted in the Bay.”); see also *id.* at 210 (“the smallest [aggregation of beluga whales in the Shantar region] were in Nikolaya Bay”). Thus, NMFS’s conclusion that even accounting for beluga whales in Nikolaya Bay the combined Sakhalin Bay-Nikolaya Bay-Amur River stock of beluga whales is still well below its optimum sustainable population is on very firm footing.

In conclusion, Petitioners applaud NMFS for conducting a thorough Status Review and issuing the Proposed Rule, and Petitioners strongly urge NMFS to expeditiously issue a Final Rule as required by the MMPA before September 5, 2016.

Sincerely yours,



Susan Millward
Executive Director
Animal Welfare Institute

Attachments

UNEP-WCMC Data
Solovyev (2015)

And submitted on behalf of:

Nicole LeBoeuf
June 6, 2016
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Whale and Dolphin Conservation
Earth Island Institute
Cetacean Society International



[Espanol](#) [Francais](#)

 New Search

Comparative Tabulation Report

Year	App.	Taxon	Class	Order	Family	Genus	Importer	Exporter	Origin	Importer reported quantity	Exporter reported quantity	Term	Unit	Purpose	Source
2014	II	Delphinapterus leucas	Mammalia	Cetacea	Monodontidae	Delphinapterus	CN	RU		2		live	B		W
2014	II	Delphinapterus leucas	Mammalia	Cetacea	Monodontidae	Delphinapterus	CN	RU		35		live	Z		W

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Summer distribution of beluga whales (*Delphinapterus leucas*) in the Sea of Okhotsk

Boris A. Solovyev*, Olga V. Shpak, Dmitri M. Glazov, Vyacheslav V. Rozhnov, Daria M. Kuznetsova

ABSTRACT: The summer distribution of beluga whales (*Delphinapterus leucas*) in the Sea of Okhotsk is typical for boreal and subarctic seas that are seasonally ice covered and support numerous anadromous fish species. During summer in the Sea of Okhotsk, beluga whales aggregate where rivers flow into estuaries, gulfs, and bays. Beluga whales are currently found in Sakhalinskiy Bay (in an estuary of the Amur River), the Shantar region, in portions of Shelikhov Bay, and along the northwestern coast of the Kamchatka Peninsula. Changes in beluga whale distribution have occurred when compared to results of earlier studies. In particular, summer aggregations have increased in the Shantar region and along the northwestern coast of the Kamchatka Peninsula.

KEY WORDS: beluga whales, geographic distribution, Sea of Okhotsk

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Летнее распределение белухи (*Delphinapterus leucas*) в Охотском море

Б. А. Соловьёв, О.В. Шпак, Д.М. Глазов, В.В. Рожнов, Д.М. Кузнецова

РЕЗЮМЕ: Летнее распределение белухи Охотского моря типично для бореальных и субарктических морей с сезонным ледовым покровом и значительными запасами анадромных видов рыб. В летний сезон белуха концентрируется в вершинах заливов, эстуариях в некоторых районах, таких как Сахалинский залив (Амурский лиман), Шантарский район, отдельные районы залива Шелихова, северо-западное побережье Камчатки. Существуют некоторые различия между современным распределением белухи в Охотском море и распределением, описанным по результатам предыдущих исследований. Так, роль Шантарского района и северо-западного побережья Камчатки, как мест летних концентраций белухи, согласно представленному исследованию увеличилась.

КЛЮЧЕВЫЕ СЛОВА: белуха, географическое распределение, Охотское море.

Introduction

The Sea of Okhotsk is the southernmost portion of the range occupied by beluga whales (*Delphinapterus leucas* Pallas, 1776) in the western North Pacific (Shpak *et al.*, 2010). Individual beluga whales have been observed in the Sea of Japan (Sato & Ichimura, 2011; Melnikov & Seredkin, 2014); however, such cases are rare and should be considered extralimital. Beluga whales remain year-round in the Sea of Okhotsk, in relatively deep, ice-covered, offshore waters during winter and aggregate in coastal regions and river estuaries in the summer (Arseniev, 1939; Kleinenberg *et*

al., 1964; Fedoseev, 1986; Shpak *et al.*, 2010; Shulezhko *et al.*, 2013).

In the 20th century, this species was hunted intensively by commercial whalers. The first scientific data on distribution, abundance, migration and seasonal movements in the Sea of Okhotsk were collected during this period of commercial whaling (1930–60s). However, no comprehensive data on distribution were obtained because research primarily focused on areas accessible to commercial whalers. Beginning in the early 1970s, scientific studies focused on beluga whale abundance and distribution in the Sea of Okhotsk were conducted during ship-based and aerial surveys. These

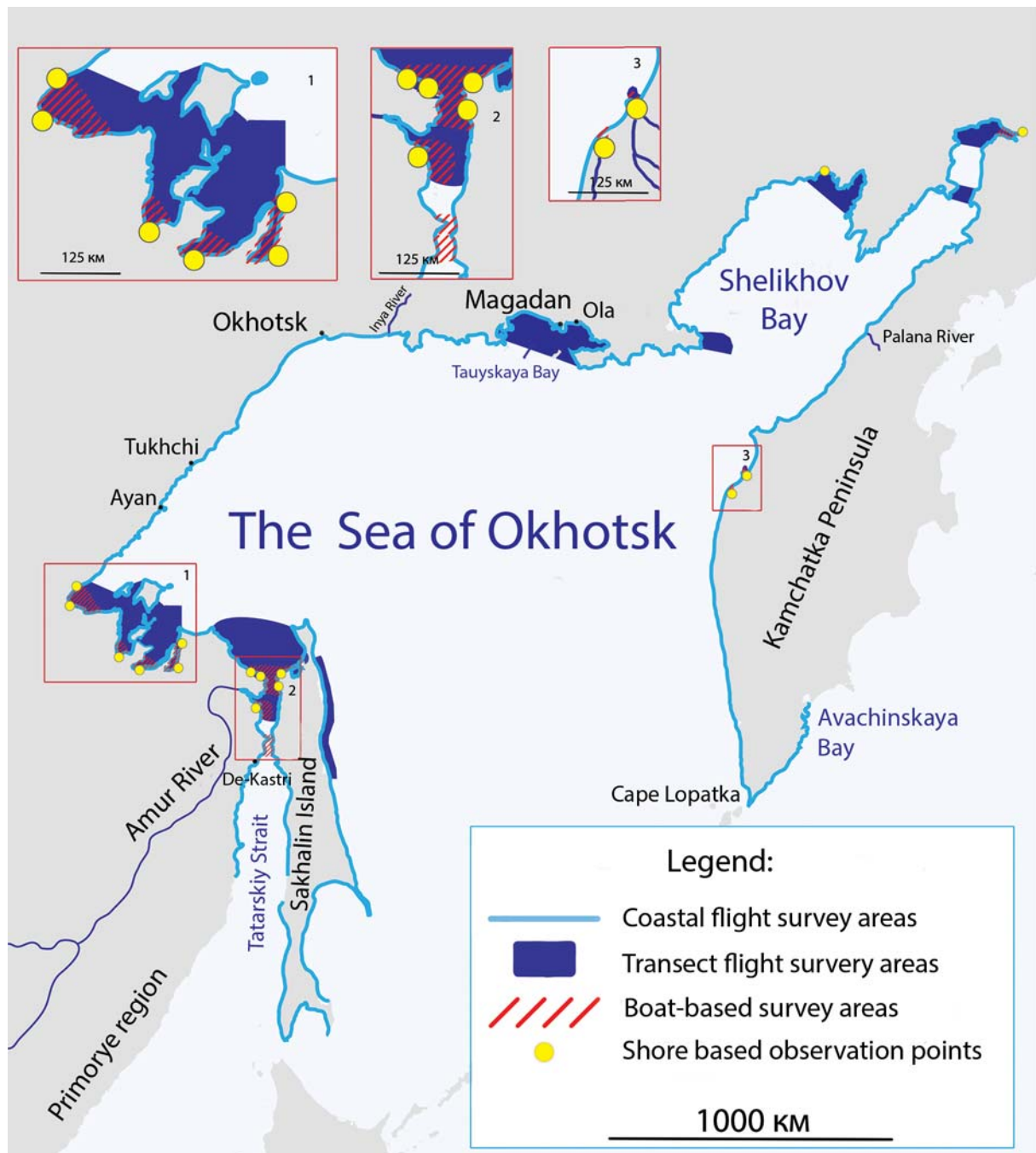


Fig. 1. The areas of the shore/ship based and aerial surveys conducted in 2007–2014 to study the distribution of beluga whales.

extensive studies were interrupted in the early 1990s, and resumed only in recent years.

Based on these earlier studies, during the first half of the 20th century summer aggregations of beluga whales regularly occurred in the northern part of the Tatarskiy Strait, the estuary of the Amur River, Sakhalinskiy Bay, Nikolaya Bay, Ulbanskiy Bay, Tugurskiy Bay and Uds-kaya Bay, the estuary of the Ayan River, and Tauyskaya Bay, Gizhiginskaya Bay and Penzhinskaya Bay (Arseniev, 1939; Kleinenberg *et al.*, 1964). In the second half

of the 20th century, researchers enumerated the main aggregations of beluga whales. They determined that in the western Sea of Okhotsk, the Amur River and its estuary provided habitat for the largest population of beluga whales in this region (Berzin *et al.*, 1988; Berzin, Vladimirov, 1989). In the eastern Sea of Okhotsk, the largest population occupied Shelikhov Bay, including Gizhiginskaya and Penzhinskaya Bays (Berzin *et al.*, 1988; Berzin, Vladimirov, 1989; Vladimirov, 1994). Although a significant amount of commercial whaling

Table 1. The time periods and areas of ship and shore based observations.

Area of observations	Year	Time period of observations
<i>Aerial observations</i>		
Sakhalin-Amur region, Shantar region, North-Western region	2009	05.08–08.08
	2009	11.09–13.09
Western Kamchatka and Shelikhov region	2009	16.08–02.09
Sakhalin-Amur region, Shantar region, North-Western region	2010	07.08–08.08
	2010	23.08–24.08
Western Kamchatka and Shelikhov region	2010	10.08–19.08
<i>Ship and shore based observations</i>		
Gizhiginskaya Bay	2004	27.05–08.06
Penzhinskaya Bay	2010	28.06–08.07
Estuaries of the Khairyuzova and Belogolovaya Rivers	2010	30.07–31.08
	2011	13–14.08, 14–18.09
	2012	13.07–28.08
Estuary of the Moroshechnaya River	2011	17.08–13.09
Sakhalinskiy Bay and Amur Estuary	2007	19.08–24.08
	2008	06.07–16.07
	2009	24.07–07.08
	2010	09.06–4.09
	2011	07.07–27.08
	2013	20.07–21.09
	2014	27.07–28.08
Nikolaya Bay	2009	27.06–20.07
	2010	17.07–02.08
	2012	17.08–26.08
Ulbanskiy Bay	2010	07.07–09.08
	2011	08.07–18.08
	2012	15.07–03.09
	2013	12.08–27.08
Tugurskiy Bay	2010	21.06–12.09
	2013	02.08–11.08
Udskaya Bay	2010	23.08–12.09
	2012	29.06–14.07, 04–13.09
	2013	09.07–01.08

occurred in Tauyskaya Bay, beluga whales were not observed there in the second half of the 20th century (Melnikov, 2001).

Our study updates information on the summer distribution of beluga whales in the Sea of Okhotsk and identifies changes in distribution that have taken place within this region.

Material and Methods

This paper presents the results of studies conducted by the authors in the Sea of Okhotsk in 2007–2014 (Fig. 1). The overall pattern of present-day beluga whale distribution was based on data collected during summer aerial surveys in 2009–2010. Information about the presence of belugas in specific bays and estuaries was obtained from shore-based observations, observations from ships and boats, and interviews with local residents and fishermen. Satellite-linked transmitters attached to individual beluga whales provided location data that were used to learn about their movement and migration patterns.

Aerial surveys. Bays of the Sea of Okhotsk and its coastline were surveyed in 2009 and 2010, excluding the Kuril Islands chain. Surveys were conducted from August 3 to September 13 in 2009 and from August 4 to August 24 in 2010, covering the Sakhalin-Amur and Shantar regions two times each year. Bays were surveyed using standard line transect methodology while other coastal areas were surveyed during a single coastal transect [see Glazov *et al.* (2012) for methodology and beluga whale abundance estimates].

Shore based and ship-based surveys. Shore based observations and studies of behaviour and local movements of belugas were conducted during the summer in the western Sea of Okhotsk in the Amur Estuary, Sakhalinskiy, Nikolaya, Ulbanskiy, Tugurskiy and Udskaya bays. In the northeastern region, shore and ship based surveys were conducted in the estuaries of the Moroshechnaya, Khairyuzova and Belogolovaya rivers, as well as in Penzhinskaya Bay. Research efforts varied among regions and years (Table 1).

Interviews. Over the seven year period, local residents, fishermen, and fishing inspectors were inter-

viewed about marine mammals seen near settlements and shore-based industrial facilities. The respondents were asked the following questions: ‘Did you observe beluga whales in the vicinity of your place of residence/work, and if so, when do they arrive in the area and leave it?’ Answers to the latter question also included more general responses such as ‘after ice break-up’, ‘they came with fish’ etc. In addition to beluga observations, the respondents were asked to provide information about when fish approach the coast and in what quantity. Over 150 persons were interviewed in 28 locations, including more than 100 persons in 19 locations in the western region.

Other sources of information. Earlier published data co-authored by the authors of this paper — results of genetic analysis (Meshchersky *et al.*, 2012, 2013; Yazykova *et al.*, 2012), satellite tracking (Shpak *et al.*, 2010, 2012; Shpak & Glazov, 2013), and photo-identification — were included to provide additional insights about beluga whale distribution. Nikon D90 and D300 cameras with Nikkor 28–300mm f/3.5–5.6 VR and Nikkor 70–200 mm f/2.8G AF-S VR IF-ED lens were used for photo-identification. Photographs were analyzed with IrfanView 4.37 software.

Distribution mapping and analyses. Ship/shore based and aerial survey data were transformed into a format compatible with GIS processing software. To visualize beluga whale distribution patterns, Adobe Illustrator CS 3 and Adobe Photoshop CS 3 PC software were used with the Natural Earth vector map available in the public domain as a map base.

For convenience, we divided the Sea of Okhotsk into the following regions (Fig. 1):

- Shelikhov region — Shelikhov Bay including Penzhinskaya and Gizhiginskaya Bays;
- Western Kamchatka region — the coastal area between Lopatka Cape and the Palana River in the north;
- Sakhalin-Amur region — Sakhalinskiy Bay including Baikal Bay, Amur Estuary and the northern part of the Tatarskiy Strait;
- Shantar region — the so-called ‘Shantar Sea’ including Udkaya Bay, Tugurskiy Bay, Nikolaya Bay and Ulbanskiy Bay;
- Northwestern region — the coastal area between Udkaya Bay and Shelikhov Bay.

ANOVA was carried out using results of repeated counts (n=36) as depending variable and geographical place as grouping variable. The analysis was conducted in R 2.14 software (2008).

Results

Shelikhov region

Gizhiginskaya Bay — According to evidence of respondents residing near Nayakhanskaya Bay, beluga whales approach the coast during the herring (*Clupea pallasii*) spawning season in May after sea ice retreats. The main aggregation of several scores of belugas is

observed in the northern part of the bay with individual whales or small groups of 2–3 belugas seen along the eastern coast.

In late May or the first half of June, the smelt (*Hypomesus* sp.) run begins lasting 10–14 days (Chereshnev *et al.*, 2001). During this time, beluga whales gather in Avekova Bay. Belugas are also present in Avekova Bay in July and August during pink (*Oncorhynchus gorbuscha*) and chum salmon (*O. keta*) runs.

The maximum number of belugas in Gizhiginskaya Bay observed during the aerial surveys in 2009 and 2010 reached 250–300 whales (Fig. 2). Similar to the bays of the Shantar region, belugas stay in the estuaries of the Gizhiga and Avekova rivers in August. However, during one aerial survey flight in mid-August, a dense aggregation of over 120 beluga whales was discovered opposite a rocky shore on the east coast of the Gizhiginskaya Bay. Further north another group of over 20 whales was observed, also near the shore on the east coast of the Gizhiginskaya Bay.

Penzhinskaya Bay — According to evidence of respondents in Manily, an inland settlement on the Penzhina River which flows into Penzhinskaya Bay, beluga whales come here twice a year — in late May or early June during the smelt run and in the second third of July and August during the salmon run. Beluga whales often go from the estuary up the Penzhina River, reaching Manily settlement and sometimes continuing upriver. Extreme high tides are observed in Penzhinskaya Bay, especially at the river estuaries where tidal range is up to 12 m. Respondents noted beluga whales have to adapt to quick changes in water levels and rapid tidal currents.

As beluga whales move along the coast, they drive fish to nearshore waters within numerous capes. Water levels near these capes remain deep enough to allow whales to stay during low tide. During the rising tide, whales move along river channels, also searching for prey near capes. According to evidence of respondents, beluga whales are occasionally trapped on the mud flats at low tide and their skin becomes dry, but they remain calm and wait for the next high tide then swim away.

During summer, local residents in Manily usually see groups consisting of 15–20 belugas. In general, beluga whales do not gather in large, dense aggregations in Penzhinskaya Bay but spread out relatively uniformly in small groups in the area near the estuary of the Penzhina River.

During boat and shore based surveys in late June and early July 2010, individual belugas and small groups up to 3 whales were observed in the Penzhina River estuary (Fig. 2). One day a group of ca. 10 belugas of different ages was observed. The belugas appeared in the river near Manily settlement at the beginning of high tide and left at the beginning of low tide. Behaviours observed included resting and feeding. Aerial surveys conducted in the second half of August found up to 250 beluga whales in the estuaries of the Penzhina and Talovka Rivers. Belugas were also observed along

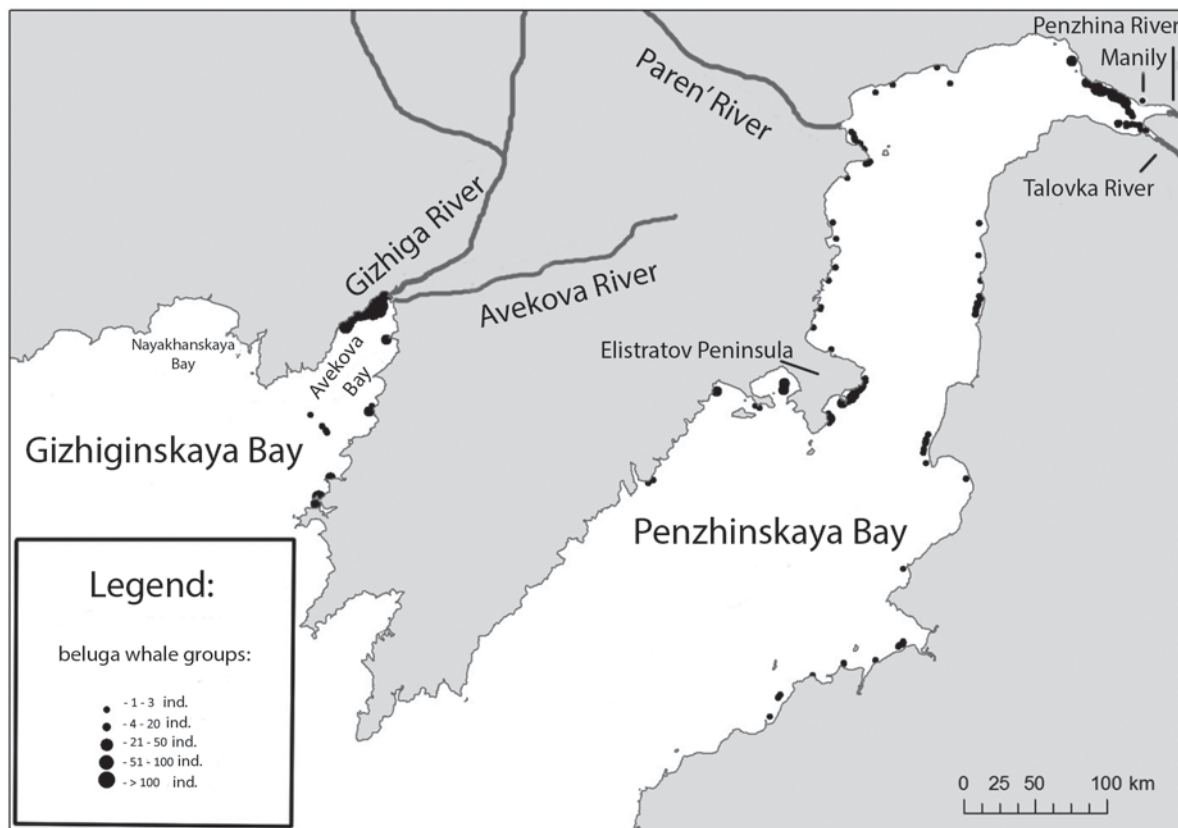


Fig. 2. Summer sightings of beluga whales in Shelikhov Bay during the aerial surveys in 2009–2010.

the entire coast of the bay. Up to 40 beluga whales were seen along the east coast of the bay, with several juveniles among them, and over 120 belugas along the west coast. The densest aggregation of belugas (about 60 whales) was observed in the central area of the bay near the Elistratov Peninsula. According to our observations and interviews of local residents, a small but permanent aggregation exists in the estuary of the Paren' River in the northwestern part of the bay. Up to 20 belugas were seen here during the aerial surveys.

The pattern of beluga whales distribution in Penzhinskaya Bay is somewhat different from whales in the Shantar region and Gizhiginskaya Bay — belugas are sighted not only in the river estuaries but also along the entire coastline of the bay.

Western Kamchatka region

According to evidence of interview respondents, beluga whales come to the west coast of Kamchatka Peninsula twice — the first time in the second half of May or beginning of June when smelt arrives and the second time in mid-summer remaining until the beginning or even the end of October; feeding on different salmon species spawning runs.

Aerial surveys found the largest aggregation of beluga whales in the estuary of the Khairyuzova and Bel-

ogolovaya rivers (Fig. 3: inset), where we spotted up to 250–300 belugas. Smaller aggregations were observed in the estuaries of the Moroshechnaya River (up to 90 belugas), Tigil River (up to 115 belugas) and Voyampolka River (up to 140 belugas). In the estuaries of all other rivers northeast along the coast from the Khairyuzova River to the Palana River, beluga whales were seen only in relatively small numbers. We infrequently observed individual belugas and belugas in small groups along the coast between river estuaries. Often they were travelling along the shore near the surf line.

Boat and shore based observations occurred in July–September 2010–2012 in the estuaries of the Khairyuzova, Belogolovaya and Moroshechnaya Rivers (Table 1). Numbers of belugas in the Moroshechnaya River estuary fluctuated notably — from 11 to 111 belugas during the observation period. In the Khairyuzova and Belogolovaya estuary and the adjacent coastal area, the numbers of beluga whales remained more stable (250–300 whales).

According to evidence of fishermen and local residents, beluga whales are not seen in large numbers or with any regularity south of the Moroshechnaya River. During our observation flights the 1st week of September 2009, belugas were observed near almost every river mouth within this area (Fig. 3), but in August 2010, only few were detected along this part of the

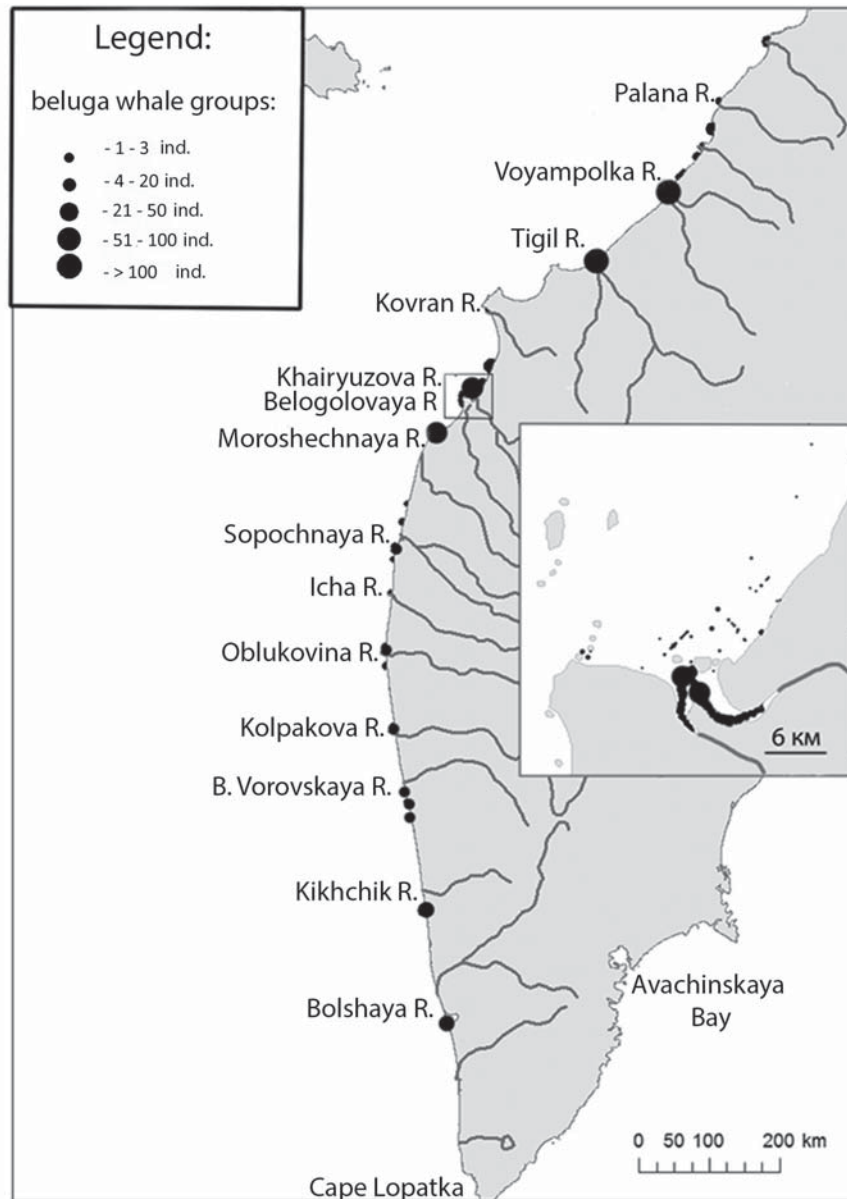


Fig. 3. Summer sightings of beluga whales near the coast of the Kamchatka Peninsula during ship-based and aerial surveys in 2009–2012.

Kamchatka coast. Sightings in 2009 occurred near the Sopochnaya River (29 belugas), Icha River (1 beluga), Oblukovina River (6 belugas), Kolpakova River (8 belugas), Bolshaya Vorovskaya River (17 belugas), Kikhchik River (35 belugas), and Bolshaya River (ca. 50 belugas). Almost three times as many beluga whales were observed along the peninsula north of Moroshechnaya River when compared to the peninsula south of the river (ANOVA, $p = 0.05$).

Beluga whales were not seen along the eastern coast of Kamchatka from Avachinskaya Bay to Lopatka Cape during the aerial surveys in 2009. Also, no sightings were reported in these areas by other researchers or respondents.

Photo-identification studies confirmed movement of some individuals between estuaries, supporting the idea that belugas aggregating in the river estuaries of western Kamchatka may move along the coast during the summer season, presumably, depending on feeding conditions.

Similar data were collected using satellite tracking in the Khairyuzova River estuary (3 transmitters deployed in 2011) and the Moroshechnaya River estuary (1 transmitter deployed in 2011) (Tarasyan *et al.*, 2013; Shulezhko *et al.*, 2013). When we compared tracking data to fish run strength (data based on interviews), whales appeared to travel to another estuary only if there was a shortage of fish. In late August 2010, beluga

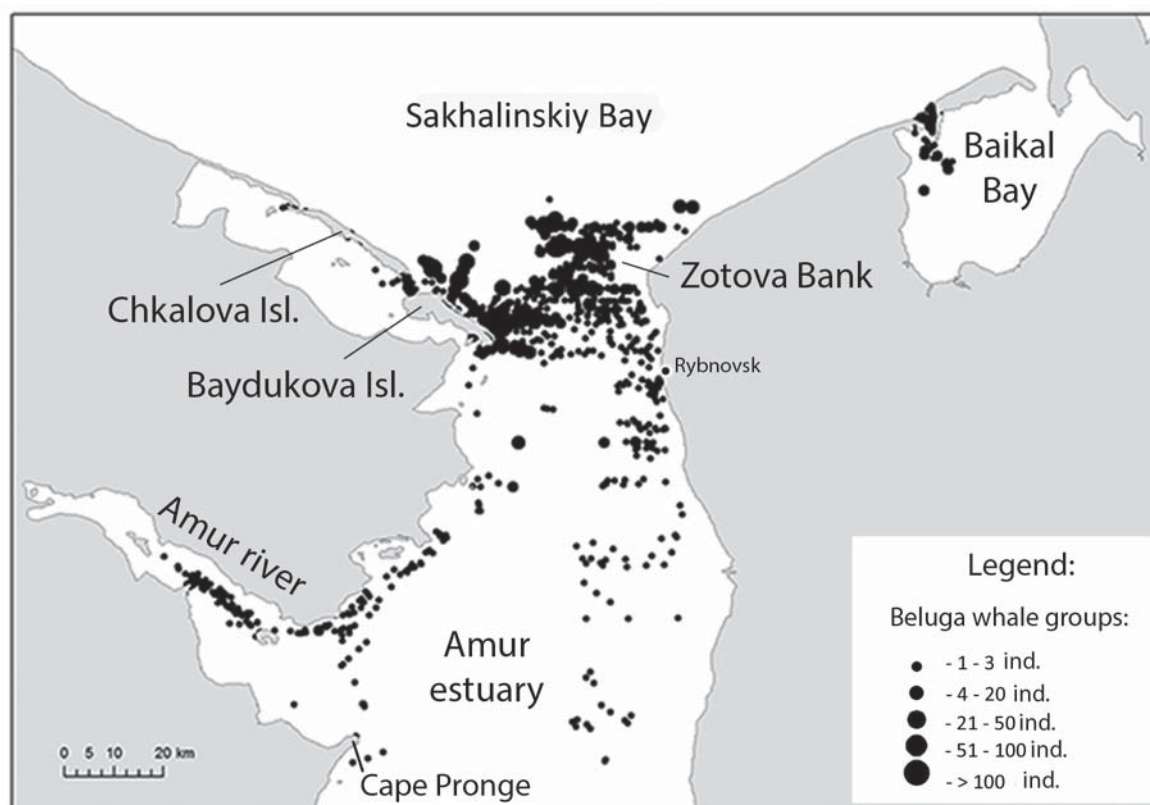


Fig. 4. Summer sightings of beluga whales in the Sakhalinskiy Bay and the Estuary of the Amur River according to the results of the ship-based and aerial surveys in 2007–2013.

whales temporarily left *en masse* the estuary of the Khairyuzova and Belogolovaya rivers after the pink salmon run ended, traveling north to the Kovran River where the pink salmon run was beginning. Also, according to evidence of residents of Ust-Khairyuzovo settlement, it seemed that beluga whales left their favourite feeding places in the estuaries of the Khairyuzova and Belogolovaya Rivers in September 2011, when there were few fish in the river, and came back later that year. In October – early November 2010 and 2011, the satellite tracked belugas began slowly moving north to the estuary of the Lesnaya River (in 2010) or the southern part of Penzhinskaya Bay (in 2011).

Sakhalin-Amur region

According to evidence of numerous interview respondents, beluga whales arrive in Sakhalinskiy Bay and the Amur Estuary right after the area becomes clear of ice. Typically this happens in the middle or the second half of May or sometimes later — in the beginning of June (depending on ice conditions) ‘together with various species of fish’ (usually herring or smelt). The whales arrive from the north — northern part of Sakhalin Island (the area near Rybnovsk) and from the south — the direction of Tatarskiy Strait. If there is no fish, the belugas leave the region. Fishermen reported that the belugas remain in the area during several days,

“blocking” the channel at the southernmost point of Zotova Bank, then leave following the fish into the Amur Estuary. After the end of the fish run, the whales move north before returning to the bay with the next fish run. In May, groups composed of ‘several dozen’ of beluga whales feed on herring as far south as De-Kastri settlement.

According to our observations, in summer the main aggregation of belugas is located on the boundary between Sakhalinskiy Bay and the Amur Estuary — between Baydukova Island and Zotova Bank (Fig. 4). Here the bay is at its most narrow, forcing returning salmon run into a confined space which belugas take advantage of from July to September. Aerial survey results show over 1000 beluga whales aggregate there in the beginning of August (Glazov *et al.*, 2012). South of the main aggregation, two significantly smaller and less dense aggregations were observed (up to 100–200 animals) spreading out along the navigation channels along the eastern and western shores of the Amur Estuary and entering the lower course of the Amur River. In the beginning of September, individual belugas were spotted south of the Amur Estuary near Pronge Cape.

During salmon runs, aggregations of beluga whales were seen regularly at the entrance of Baikalskiy Bay on the northwestern coast of Sakhalin Island. Aerial survey results from 2009 and 2010 show 30 to 90 animals in this area (Fig. 4).

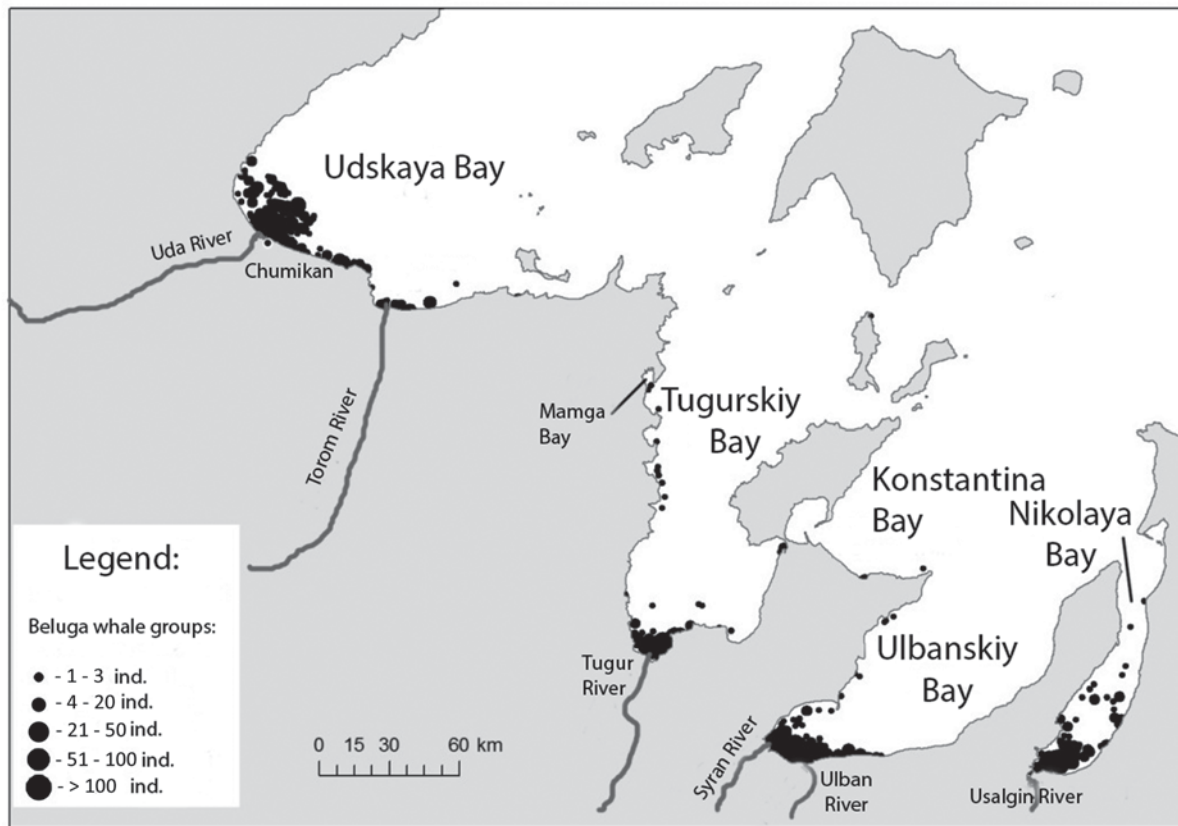


Fig. 5. Summer sightings of beluga whales in Shantar Region according to the results of the ship-based and aerial surveys in 2009–2013.

We observed no beluga whales in the northern quarter of Sakhalinskiy Bay, and according to the satellite tracking data, in summer beluga whales did not go to the deep-water areas of the bay. Fishermen mentioned that beluga whales leave the Sakhalin-Amur region when autumn chum salmon run ends in September or October, and this was also confirmed by the satellite tracking data. Some individual whales traveled from Sakhalinskiy Bay west to the adjacent bays in the Shantar region (Nikolaya and Ulbanskiy, Fig. 5) and spent autumn there before joining others, which moved directly northeast to offshore wintering areas (Shpak *et al.*, 2010, Shpak *et al.*, 2012; Shpak & Glazov, 2013). Winter migration started upon beginning of ice-formation; before that tracked beluga whales kept in shallow waters of the bays and near the coastline.

Shantar region

Nikolaya Bay — According to evidence of interview respondents, beluga whales arrive in Nikolaya Bay in the second half of May or early June when capelin (*Mallotus villosus*), smelt and herring are spawning. In the second half of June, the whales return to the Usalgin River estuary when salmon runs start.

According to our data, from late June to mid-July, individual whales are seen in Nikolaya Bay (up to 10–

15 animals), and in the second half of July the numbers increase up to 50 whales as pink salmon and chum salmon runs start. Beluga whales were primarily in the southern part of the bay in the Usalgin River estuary (Fig. 5). They disperse in the area in small groups or individually. With the beginning of high tide they may go 3 or 4 kilometres (km) up the river, but they return to the bay before the falling tide.

Aerial survey observations included 37 and 54 beluga whales in Nikolaya Bay in the first half of August 2009 and 2010, respectively; however, only 6 belugas were spotted there in the beginning of September 2009. In the second half of August 2012, only individual whales were spotted in the bay. As mentioned in the Sakhalin-Amur region section, satellite tracking data show that from late August to early September some beluga whales move from Sakhalinskiy Bay to Nikolaya Bay. Some tracked individuals remain there until the end of autumn when ice formation begins. Also, some beluga whales move further west to Ulbanskiy Bay. Two beluga whales, tagged a year earlier in Sakhalinskiy Bay, were photographed in Nikolaya Bay next summer. Thus, there is some exchange between the aggregations of beluga whales summering in these regions. It is not clear whether beluga whales migrate between the two bays within one season, or if they spend the entire summer within

one bay before moving to another the following summer.

Ulbanskiy Bay — According to evidence of respondents, beluga whales appear in small number in Ulbanskiy Bay in May, immediately after breakup of the fast ice even though the entrance of the bay remains covered with dense ice up to the middle of July. Beluga whales move south into the bay in large numbers in the 3rd–4th week of July and disperse within 10 km of the Ulban and Syran River mouths. Groups of 10–30 belugas are also seen along the western coastline of Ulbanskiy Bay and the southern coast of Konstantina Bay.

During the aerial surveys in August, an estimated 1200 beluga whales occupied the estuary where the Ulban and Syran Rivers enter Ulbanskiy Bay (Fig. 5). Whales were in several large aggregations of 100–300 belugas.

Beluga whale behaviours were noted during ship and shore based observations collected in Ulbanskiy Bay. These behaviours included traveling, feeding, resting, and socializing. Feeding and movements between different feeding areas within the estuary, or in connection with high and low tides were observed most often. A specific behaviour associated with avoiding predators — killer whales (*Orcinus orca* (Linnaeus, 1758)), was observed twice — the beluga whales moved rapidly in surf keeping as close to shore as possible.

The age structure of the beluga aggregations in Ulbanskiy Bay (based on skin colour: Kleinenberg *et al.*, 1964; Matyshev & Ogniotov, 2006) is similar to large summer aggregations from other bays in the Shantar region and along the Kamchatka Peninsula. White belugas (adults) make up 60–80% of the total number of belugas in the aggregation (Fig. 5). The dark-grey belugas (yearlings and young-of-the-year) make up about 10%, but the age-ratio in certain groups of the aggregation may be very different: there are groups formed almost entirely by females with juveniles.

Tugurskiy Bay — According to results of coastal observations, in the Tugur River estuary beluga whales are seen in small numbers, no more than 20 belugas, in June or the first half of July. By the last days of July or early August, their numbers begin to increase. Results of the aerial surveys in August 2010 show up to 750 beluga whales in this area (Fig. 5). Results from our ship-based surveys in early August 2013 were similar — at the peak of the salmon run the river mouth was “blocked” by an aggregation of over 500 beluga whales lined in ranks. Whales were observed in the estuary primarily during high tide as the low tide exposes thousands of meters of mudflats.

The number of beluga whales reduces notably, to several dozen only, a few days after the end of the peak of the salmon run. We observed beluga whales in the area until the end of September (no observations were carried out later). According to evidence of our respondents, in the eastern ‘pocket’ of the bay near the Tugurskiy Isthmus, beluga whales are present in mid-October; and small groups of belugas (up to 20 whales) are

frequently seen in Mamba Bay (70 km north of the Tugur River estuary). During the aerial surveys in 2009 and 2010, individual belugas were seen along the entire west coast of Tugurskiy Bay. It is presumed that beluga whales prefer the western part of the bay because the eastern shore lacks spawning rivers (S. Kulbachniy, KhabTINRO, pers. comm.).

Similar to other bays and regions, the age structure of large aggregations in Tugurskiy Bay included all age classes during all years of observation (Fig. 6) while small groups of adult beluga whales were not uncommon.

Udskaya Bay — Respondents from the settlement of Chumikan (on the Uda River) noted that in spring, beluga whales enter the river estuaries as soon as the sea ice retreats. For example, in 2014 the sea ice cover broke unusually early, and beluga whales were seen near the settlement in late April for the first time. We hypothesize that beluga whales enter the bay along the west coast because these coastal areas are the first to become ice-free within Udskaya Bay. In other years, beluga whales first arrive in early or mid-June. Beluga whales are always present in Udskaya Bay at the start of the smelt run. Based on our observations and interviews, in the middle or by the end of July, the number of beluga whales peaks and remains at this level, with some fluctuations, until early or mid-September. In autumn, the number of belugas near Chumikan gradually falls. Based on coastal observations, up to 100–120 beluga whales remain until ice slush starts to form, usually in the middle of November.

During the first third of August 2009, aerial surveys found beluga whales concentrated in two areas: 850 whales in the estuary of the Uda River near Chumikan and 100 whales in the Torom River estuary (Fig. 6). During this same period in 2010, all beluga whales (about 1200 animals) were observed in the Uda River estuary.

The surveyed aggregation of beluga whales appears to be a large reproductive herd (Fig. 6). In groups concentrating near the coast, the number of juveniles reaches 30–40%. Many newborns are observed in the second half of July. Re-sightings of photographed individuals with scars from biopsy tips confirm the recurring presence of the same individuals in these coastal groups. Similar to the adjacent bays in the Shantar region, beluga whale movements within the estuaries of Udskaya Bay are effected by tides. Extreme low tides expose mudflats and displace whales several kilometres from the river mouths.

Thus, according to evidence of respondents, small numbers of beluga whales appear immediately after break up of shorefast ice in the Shantar region. Evidently, they are able to traverse ice fields that may block the entrances to some bays (e.g., Nikolaya Bay, Ulbanskiy Bay). The early arrival of beluga whales coincides with the beginning of herring, smelt and capelin runs.

By the middle of summer beluga whales gather in and near the estuaries of large rivers. The largest aggregations of beluga whales (over 1000 animals) were

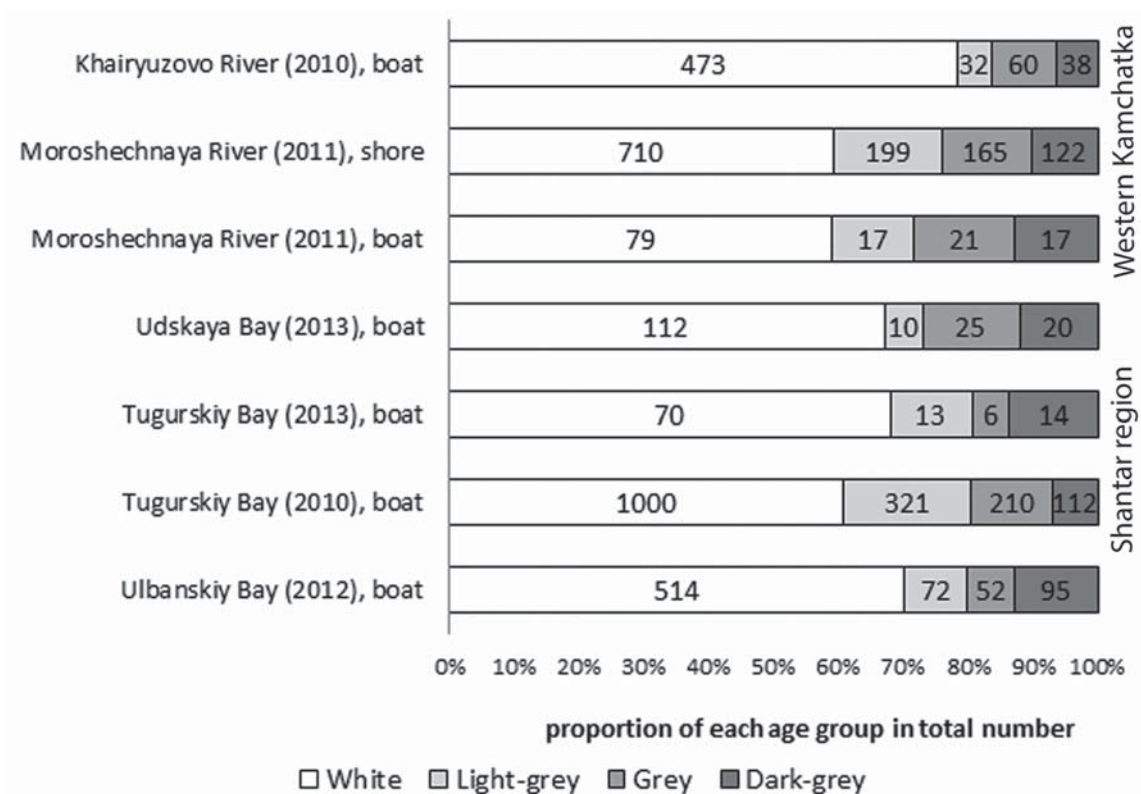


Fig. 6. The age distributions of several summer aggregations of beluga whales in the Sea of Okhotsk determined during the ship and shore based observations in 2010–2013. The areas and methods of observation are shown on the vertical axis.

observed in Ulbanskiy Bay and Udskaya Bay, while the smallest were in Nikolaya Bay.

Movement patterns within the estuaries are related to tidal sea level changes, which vary in this region in summer from 3.5 m to 7.8 m.

The social structure of the aggregations was variable and depends, most likely, on the pattern of food distribution. Large groups were comprised of hundreds of whales that included subgroups of whales of 3–30 animals in close proximity to one another. Isolated small groups consisting of several belugas were also seen along the coastlines outside of the river estuaries.

Northwestern region

Seemingly, at present there are no permanent summer aggregations of beluga whales anywhere along the northwestern coast of the Sea of Okhotsk between Udskaya Bay and Shelikhov Bay (Fig. 7). During the 2009 aerial survey, only individual whales or small groups travelling along the coast have been observed. Beluga whales were seen in two locations: in the estuary of the Inya River on the administrative border between Khabarovskiy Territory and Magadanskaya Region (one adult with a juvenile) and in the western part of Tauyskaya Bay (two groups comprised of 9 adults with 2 juveniles and one adult with a juvenile).

In late May – early June 2008, when ice was still present near the coast, up to 1500 beluga whales entered Tauyskaya Bay and dispersed over the entire area, later leaving and heading east. Almost no feeding behaviour was observed during this time. The same year in late June, a group of 100–150 beluga whales appeared to be feeding on the bottom, probably on flatfish (Pleuronectidae), near Ola settlement, about 6–8 km from the river estuary (A.I. Grachev, Magadan NIRO, pers. comm.).

According to evidence of respondents (from Turchi, Ayan and Okhotsk), beluga whales approach the northwestern coast in the spring following the breakup of fast ice, as spawning herring and smelt return. For example, 12 belugas were seen in Ayan Harbor on April 30, 2014.

On May 26–27, 2011, an aerial ichthyologic survey team observed ca. 270–300 belugas in groups of 10–30 animals in the herring spawning areas near Okhotsk town (A.I. Grachev, Magadan NIRO, pers. comm.).

Discussion

According to our data, beluga whales are not uniformly distributed along the entire coast of the Sea of Okhotsk during summer, but aggregate primarily in river estuaries and associated bays located in several



Fig. 7. The summer distribution of beluga whales in the Sea of Okhotsk.

regions. These regions include Sakhalinskiy Bay (and the estuary of the Amur River), continental bays of the Shantar region, some areas of Shelikhov Bay, and the northwestern coast of the Kamchatka Peninsula. Only rare occasional sightings of beluga whales occurred along the northwestern coast of the Sea of Okhotsk between the Shantar and Shelikhov regions, in Tatarskiy Strait south of De Kastri settlement, near the eastern coast of Sakhalinskiy Island, and along the coast of the Kamchatka Peninsula south of the Moroshechnaya River. Similar to studies conducted in the 20th century, we have not seen beluga whales in summer near the east coast of Kamchatka, the coast of East Sakhalin and in

the northern part of the Sea of Japan. Although, beluga whales have been observed near the continental coast of Primorye region, the coasts of Hokkaido Island, and even the coast of Honshu Island (Sato & Ichimura, 2011; Melnikov & Seredkin, 2014), most sightings were of lone whales, “outliers” encountered between seasons in the period of active migrations.

Numbers of beluga whales found within each region have changed since the time of commercial whaling and scientific studies conducted during the 20th century. When comparing the Shantar region to the Sakhalin-Amur region, we found that in early–middle 1980s the numbers in these regions were in a ratio of 1:4, by the end

of the 1980s and in the 1990s this ratio changed as 1:2; however, by 2011 this ratio was 2:1 (Vladimirov, 1985, 1994, 1995; Berzin *et al.*, 1988, 1990; Glazov *et al.*, 2012). According to V.L. Vladimirov (1994, 1995), the ratio change in late 20th century was a result of a decline in number of beluga whales in the Sakhalin-Amur region.

Within the Shantar region, present day estimates in each bay also differ greatly from beluga counts obtained 30–50 years ago. For example, more beluga whales were present in Tugurskiy Bay than in Ulbanskiy Bay (Berzin *et al.*, 1988), while current estimates show almost three times as many whales in Ulbanskiy compared to Tugurskiy Bay. Although visual observations support the hypothesis of seasonal residency, the movements of Shantar belugas between the bays can not be excluded, given that satellite-tagged and photo-identified whales from the Sakhalin-Amur summer aggregation are known to travel to the eastern Shantar bays. Genetic analysis (Yazykova *et al.*, 2012; Meshchersky *et al.*, 2013) indicates that there are statistically significant differences in maternal lines in separate bays, except Nikolaya and Sakhalinskiy bays. As summer aggregations of beluga whales demonstrate pronounced philopatry fixed at the genetic level, it is unlikely that these aggregations travel from bay to bay and mix in numbers. We suppose there may be certain exchange between Sakhalinskiy and Nikolaya bays in summer months; but for most aggregations, highly residential behavior is characteristic during the months of chum and pink salmon runs, when most genetic sampling took place. In autumn, belugas become more mobile. We know that individual whales start moving from bay to bay, and that the number of belugas in places of summer concentration gradually decreases. The autumn movements of entire aggregations remain unknown, and it is possible that large summer aggregations disperse breaking into smaller groups, some of which remain on summer grounds until the winter migration starts.

According to the papers of the late 20th century (Berzin *et al.*, 1985; Berzin, 1995), most beluga whales observed in the northeastern Sea of Okhotsk were in the Shelikof region, concentrated in Penzhinskaya and Gizhiginskaya bays, with only small groups and individual belugas seen along the western coast of Kamchatka. Our studies show the numbers of beluga whales near the western coast of Kamchatka and in the Shelikof region are approximately the same (Glazov *et al.*, 2012). Tagged whales from the Kamchatka coast were observed traveling to the Shelikof region after the summer season suggesting there may be migratory movements between these two regions. Genetic analyses of samples collected along the west coast of Kamchatka (Meshchersky *et al.*, 2012, 2013) compared to whales from the Sakhalin-Amur and Shantar regions suggest these populations are distinct one from another. Along the northwestern and northern coast of the Sea of Okhotsk, summer aggregations are no longer present. Prior to the 1930s, several hundred beluga whales were

regularly seen in Tauyskaya Bay (Govorkov, 1930, 1931). Commercial whaling of belugas began in this bay in 1929, with 388 belugas caught in 1929, and 147 in 1930 (Govorkov, 1930, 1931). It is unknown whether the aggregation in Tauyskaya Bay was extirpated, or belugas left this area. Haplotypes characteristic of the northeastern population are present in belugas aggregating in Udsckaya Bay (Meshchersky *et al.*, 2012, 2013). It is possible that Tauyskaya aggregation abandoned its summer ground and mixed with belugas from Udsckaya Bay. Intensive commercial harvest in Sakhalin-Amur region, Tugurskiy and Udsckaya bays and Gizhiginskaya Bay, which lasted longer than whaling in Tauyskaya Bay, did not result in the disappearance of the respective summer aggregations of beluga whales suggesting that these areas play key role as feeding or/and breeding habitats similar to distribution pattern of Cook Inlet belugas described below.

The summer distribution and behaviour of beluga whales in the Sea of Okhotsk is typical for the subarctic and temperate zone seas. For example, belugas form similar summer aggregations in the Anadyr Estuary of the Bering Sea. Anadyr belugas also approach the coast after the ice breaks up in late spring or early summer, feed intensively on anadromous species of fish, and leave the bay when in October ice starts to form (Litovka *et al.*, 2002).

The same pattern is also observed in the eastern part of the Bering Sea — in Norton Sound, where beluga whales aggregate in the estuary of the Yukon River from May to November, also feeding on salmon (Lowry *et al.*, 1986). In Bristol Bay, Alaska, belugas gather in the Kvichak and Nushagak rivers from April to August following anadromous fish runs (Lowry *et al.*, 2008). A small isolated population of beluga whales in Cook Inlet, Alaska, currently remains in the inlet year-round and aggregates in river deltas during the summer months to feed on anadromous runs of eulachon (*Thaleichthys pacificus*) and salmon and find shelter from killer whales (Shelden *et al.*, 2003, Goetz *et al.*, 2007, 2012). Similar to the whales in some regions of the Sea of Okhotsk, these whales were intensively hunted but did not abandon the Susitna delta area (Rugh *et al.*, 2010). Instead as their numbers declined overall, numbers in this particular area remained fairly stable suggesting this aggregation area provided habitat preferred over other areas, even though this is where most hunting occurred. In the subarctic and northern seas of the Atlantic, beluga whales are similarly distributed in summer in the Gulf of Saint Lawrence where they go up the estuary of the Saint Lawrence River after the ice retreats (Kingsley, 1999; COSEWIC, 2004), as well as in the western part of Hudson Bay, where beluga whales gather in the estuaries of the Churchill, Nelson and Seal rivers to feed on salmon (Sergeant, 1973).

The distribution patterns and behaviour of beluga whales in the Arctic seas are notably different. Estuarine and coastal waters play much less role in belugas summer distribution in the Arctic seas. When beluga

whales enter a bay, river estuary, or near shore waters, they go in small numbers or for a short period of time, without forming stable aggregations gravitating to certain locations. These whales also typically undertake much longer migrations covering thousands of kilometres from their summering grounds to their wintering areas. For example, this is the case in such locations as Obskaya Bay, Yeniseiskiy Bay, the Lena River estuary, Kasegalyuk Bay, Kotzebue Sound, the Mackenzie River estuary, and Somerset Island (Norton & Harwood, 1986; Frost *et al.*, 1993; Smith & Martin, 1994; Richard *et al.*, 2001a, b; Solovyev *et al.*, 2011; Udovik *et al.*, 2012). These regions do not host large, anadromous fish runs and remain ice-covered for longer periods than the subarctic and boreal seas. In a warming arctic, there is already evidence of salmon numbers increasing farther north than ever documented in the past (Dunmall *et al.*, 2013). It will be interesting to see how these changes may affect the behaviour of these northern populations of belugas as sea ice disappears and anadromous fish become a part of their ration.

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